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# ALIYA L. RIDDLE, CFA

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## EDUCATION

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### UNIVERSITY OF NOTRE DAME

Bachelor of Business Administration, Finance  
Cum Laude Honors

Notre Dame, IN  
May 2006

## PROFESSIONAL EXPERIENCE

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### BARTLETT WEALTH MANAGEMENT

Cincinnati, OH  
September 2018 – Present

#### *Wealth Advisor (April 2022 – Present)*

Proactive resource for a variety of clients (private, institutional, non-profit) providing guidance on different aspects of investment management. Determine appropriate asset allocation and investment vehicles, based on financial planning concepts using clients' goals and objectives. Effectively communicate various, and many times complex, investment ideas to clients in a timely fashion. Environmental, Social, and Governance investing team leader.

#### *Associate Investment Advisor (September 2018 – April 2022)*

A collaborative, responsive role requiring both technical skills and the ability to interact consistently with institutional, non-profit, and private clientele. Responsible for accurate portfolio trade implementation strategies while adhering to investment policy statement parameters and guidelines.

### JOHNSON INVESTMENT COUNSEL

Cincinnati, OH  
June 2010 – September 2018

#### *Associate Portfolio Manager Portfolio Manager Assistant*

A full-service role as part of Portfolio Manager team, navigating and resolving challenging aspects of serving clients and managing hundreds of portfolios. Proactively analyzed accounts to recommend improvements that better align portfolios with client goals. Demonstrated resourcefulness in order to establish and maintain long-lasting and trusting relationships.

#### *Operations Associate*

Multi-faceted role that required the ability to produce solutions that adhered to compliance guidelines. Significantly improved processes while efficiently servicing both internal and external clients. Used strong analytical skills to assess the advancements needed for the firm to meet new cost basis legislation requirements and produced a detailed plan for implementation. Ensured highly accurate tax reporting for clients as the US Bank tax and cost basis liaison.

### JPMORGAN CHASE

Cincinnati, OH  
November 2008 – May 2010

#### *Personal Banker*

Sales and compliance focused position that collaborated with all members of the branch staff and was considered the subject matter expert of investment knowledge. Consistently acquired,

retained, and expanded private client relationships. Opened and serviced a variety of accounts while strictly adhering to the USA PATRIOT Act, Bank Secrecy Act, and FINRA regulations.

**NATIONAL CITY BANK** (currently PNC Bank)

Cincinnati, OH  
August 2006 – November 2008

*Licensed Financial Consultant*

Specialized in analyzing clients' unique financial situations to develop suitable investment recommendations using mutual funds. Followed compliance requirements for set-up, purchases, account transfers, and distribution of marketing material and prospectuses. Liaised with branch Financial Advisor to shadow and gain knowledge about more sophisticated investment solutions for clients.

*Retail Development Program (RDP) Associate*

Highly valued management program that included training as a customer service representative, consumer banker, and office manager. Assigned special projects by branch and district managers to be completed during each rotation.

**EARLY CAREER INTERNSHIPS**

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General Electric – Financial Management Program, 2005  
Procter and Gamble – Global Tax, Marketing, Finance, 2002 - 2004

**PROFESSIONAL LICENSES AND SKILLS**

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Chartered Financial Analyst (CFA®) Designation  
FINRA Series 6 and 63 (Former)  
Microsoft Office Suite, SharePoint, working knowledge of Bloomberg

**COMMUNITY INVOLVEMENT & EXTRACURRICULARS**

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Beech Acres Foundation, Board Member  
Inner City Tennis Project, Board Member  
Cincinnati Opera Investment Committee Member and Center Stage Board Associate  
ArtsWave Women's Leadership Roundtable  
University of Cincinnati Economics Center StEP Program Volunteer  
Cincinnati Regional Chamber C-Change Class 13 - Alum